

Tatia Global Vennture Limited

Insider Trading - Code of Conduct

(UPDATED ON 27.05.2026)

This Policy is only an internal code of conduct and one of the measures to avoid Insider Trading. It will be the responsibility of each person covered under the SEBI Insider Trading Regulation to ensure compliance of the SEBI Act, Guidelines and other related statutes.

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Chapter 1- Preliminary and Restrictions on Insider Trading

1.1 Background

The Securities and Exchange Board (Prohibition of Insider Trading) Regulations, 2015 (Regulations) seek to govern the conduct of insiders, connected persons and persons who are deemed to be connected persons on matters relating to Insider Trading.

Insider Trading involves trading in the securities of a Company listed or proposed to be listed, by connected or any persons in possession of or with access to unpublished price sensitive information not available to the general public, who can take advantage of or benefit from such unpublished price sensitive information. Trading in securities by an ‘insider’ is regarded unfair when it is predicated upon utilization of ‘inside’ information to profit at the expense of other investors who do not have access to the same information.

The Code shall be effective from 1st June, 2026.

1.2 Objective of this Code of Conduct

This Code of Conduct has been prepared by adopting the standards set out in Schedule B of the Regulations in order to regulate, monitor and report trading by its employees and other connected persons towards achieving compliance with the regulations.

In order to fully understand the scope of restrictions on insider trading, it is useful to understand the following terms/definitions.

1.3 Definitions

- a) **“Company”** means Tatia Global Vennture Limited (“Company”).
- b) **“Connected person”** means
 - i. Any person who is or has during the six months prior to the concerned act been associated with a Company, directly or indirectly, in any capacity including by reason of frequent communication with its officers or by being in any contractual, fiduciary or employment relationship or by being a director, officer or an employee of the Company or holds any position including a professional or business relationship between himself and the Company whether temporary or permanent, that allows such person, directly or indirectly, access to unpublished price sensitive information or is reasonably expected to allow such access.
 - ii) Without prejudice to the generality of the foregoing, the persons falling within the following categories shall be deemed to be connected persons unless the contrary is established -
 - (a) a relative of connected persons specified in clause (i);

- (b) a holding Company or associate Company or subsidiary Company;
 - (c) an intermediary as specified in section 12 of the Act or an employee or director thereof;
 - (d) an investment Company, trustee Company, asset management Company or an employee or director thereof;
 - (e) an official of a stock exchange or of clearing house or corporation;
 - (f) a member of board of trustees of a mutual fund or a member of the board of directors of the asset management Company of a mutual fund or is an employee thereof;
 - (g) a member of the board of directors or an employee, of a public financial institution as defined in section 2 (72) of the Companies Act, 2013;
 - (h) an official or an employee of a self-regulatory organization recognised or authorised by the Board;
 - (i) a banker of the Company;
 - (j) a concern, firm, trust, Hindu undivided family, Company or association of persons wherein a director of a Company or his immediate relative or banker of the Company, has more than ten per cent of the holding or interest;
 - (k) a firm or its partner or its employee in which a connected person specified in sub-clause (i) of clause (b) is also a partner;
 - (l) a person sharing household or residence with a connected person specified in sub-clause (i) of clause (b).
- c) **“Designated Persons”** means
- 1. Members of the Board of Directors of Company;
 - 2. Chief Financial Officer and Company Secretary;
 - 3. All employees of the Company.
 - 4. Subsidiary Company.
- d) **“Generally available information”** means information that is accessible to the public on a non-discriminatory basis.
- e) **“relative”** shall mean the following:
- (1) spouse of the person;
 - (2) parent of the person and parent of its spouse;
 - (3) sibling of the person and sibling of its spouse;
 - (4) child of the person and child of its spouse;
 - (5) spouse of the person listed at sub-clause (iii); and
 - (6) spouse of the person listed at sub-clause (iv)
- f) **“Immediate relative”** means a spouse of a person, and includes parent, sibling, and

child of such person or of the spouse, any of whom is either dependent financially on such person, or consults such person in taking decisions relating to trading in securities.

- g) **“Insider”** means any person who is:
 - 1. a connected person; or
 - 2. in possession of or having access to unpublished price sensitive information.
- h) **“Promoter”** shall have the meaning assigned to it under the Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018 or any modification thereof.
- i) **“Promoter Group”** shall have the meaning assigned to it under the Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018 or any modification thereof.
- j) **“Regulation”** shall mean Securities Exchange Board of India (Prohibition of Insider Trading Regulations, 2015).
- k) **“Securities”** shall have the meaning assigned to it under the Securities Contracts (Regulation) Act, 1956 (42 of 1956) or any modification thereof, except units of a mutual fund.
- l) **“Trading”** means and includes subscribing, buying, selling, dealing, or agreeing to subscribe, buy, sell, deal in any securities, and "trade" shall be construed accordingly.
- m) **“Trading day”** means a day on which the recognised stock exchanges are open for trading.
- n) **“Unpublished price sensitive information” (UPSI)** means any information, relating to a Company or its securities, directly or indirectly, that is not generally available which upon becoming generally available, is likely to materially affect the price of the securities and shall, ordinarily include but is not restricted to, information relating to:
 - 1. financial results;
 - 2. dividends;
 - 3. change in capital structure;
 - 4. mergers, de-mergers, acquisitions, de-listings, disposals and expansion of business (award or termination of order/contracts not in the normal course of

- business) and such other transactions;
5. changes in key managerial personnel (other than due to superannuation or end of term, and resignation of a Statutory Auditor or Secretarial Auditor);
 6. change in rating(s), other than ESG rating(s);
 7. fund raising proposed to be undertaken;
 8. agreements, by whatever name called, which may impact the management or control of the company;
 9. fraud or defaults by the company, its promoter, director, key managerial personnel or subsidiary or arrest of key managerial personnel, promoter or director of the company, whether occurred within India or abroad;
 10. resolution plan/ restructuring or one-time settlement in relation to loans/borrowings from banks/financial institutions;
 11. admission of winding-up petition filed by any party /creditors and admission of application by the Tribunal filed by the corporate applicant or financial creditors for initiation of corporate insolvency resolution process against the company as a corporate debtor, approval of resolution plan or rejection thereof under the Insolvency and Bankruptcy Code, 2016;
 12. initiation of forensic audit, by whatever name called, by the company or any other entity for detecting mis-statement in financials, misappropriation/ siphoning or diversion of funds and receipt of final forensic audit report;
 13. action(s) initiated or orders passed within India or abroad by any regulatory, statutory, enforcement authority or judicial body against the company or its directors, key managerial personnel, promoter or subsidiary, in relation to the company;
 14. outcome of any litigation(s) or dispute(s) which may have an impact on the company;
 15. giving of guarantees or indemnity or becoming a surety, by whatever named called, for any third party, by the company not in the normal course of business;
 16. granting, withdrawal, surrender, cancellation or suspension of key licenses or regulatory approvals.

For the purpose of sub-section (9):

- a. 'Fraud' shall have the same meaning as referred to in Regulation 2(1)(c) of Securities and Exchange Board of India (Prohibition of Fraudulent and Unfair Trade Practices relating to Securities Market) Regulations, 2003.
- b. 'Default' shall have the same meaning as referred to in Clause 6 of paragraph A of Part A of Schedule III of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.

1.4 Compliance Officer



Company has appointed the Company Secretary, as the Compliance Officer for the purposes of the Regulations, who shall work subject to guidance of the Chairman and the Board of Directors.

1.5 Communication or procurement of UPSI

- a) No insider shall communicate, provide, or allow access to any UPSI relating to Company or securities listed or proposed to be listed by Company, to any person including other insiders except where such communication is in furtherance of legitimate purposes, performance of duties or discharge of legal obligations.
- b) No person shall procure from or cause the communication by any insider of UPSI, relating to Company or securities listed or proposed to be listed by Company, except in furtherance of legitimate purposes, performance of duties or discharge of legal obligations.
- c) Any person in receipt of unpublished price sensitive information pursuant to a “legitimate purpose” shall be considered an “insider” for purposes of these regulations and due notice shall be given to such persons to maintain confidentiality of such unpublished price sensitive information in compliance with these regulations.
- d) Notwithstanding anything contained herein, UPSI may be communicated, provided, allowed access to or procured, in connection with a transaction that would:
 - (i) entail an obligation to make an open offer under the takeover regulations where the Board of Directors of Company is of informed opinion that the proposed transaction is in the best interests of the Company;
 - (ii) not attract the obligation to make an open offer under the takeover regulations but where the Board of Directors of Company is of informed opinion that the proposed transaction is in the best interest of the Company and the information that constitutes UPSI is disseminated to be made generally available at least two trading days prior to the proposed transaction being affected in such form as the Company Board of Directors may determine.
- e) For purposes of sub-clause (d) above, the Board of Directors of Company shall require the parties to execute agreements to contract confidentiality and non-disclosure obligations on the part of such parties and such parties shall keep information so received confidential, except for the purpose of the above sub-clause (d), and shall not otherwise trade in securities of Company when in possession of UPSI.

- f) The board of directors or head(s) of the organisation of every person required to handle unpublished price sensitive information shall ensure that a structured digital database is maintained containing the nature of unpublished price sensitive information and the names of such persons who have shared the information and also the names of such persons with whom information is shared under this regulation along with the Permanent Account Number or any other identifier authorized by law where Permanent Account Number is not available. Such database shall not be outsourced and shall be maintained internally with adequate internal controls and checks such as time stamping and audit trails to ensure non-tampering of the database.

Provided that entry of information, not emanating from within the organisation, in structured digital database may be done not later than 2 calendar days from the receipt of such information.

- g) The board of directors or head(s) of the organisation of every person required to handle unpublished price sensitive information shall ensure that the structured digital database is preserved for a period of not less than eight years after completion of the relevant transactions and in the event of receipt of any information from the Board regarding any investigation or enforcement proceedings, the relevant information in the structured digital database shall be preserved till the completion of such proceedings.

1.6 Trading when in possession of unpublished price sensitive information

1. No insider shall trade in securities of Company that are listed or proposed to be listed on a stock exchange when in possession of UPSI.

Explanation- When a person who has traded in securities has been in possession of unpublished price sensitive information, his trades would be presumed to have been motivated by the knowledge and awareness of such information in his possession

Provided that the insider may prove his innocence by demonstrating the circumstances including the following:

- i. the transaction is an off-market inter-se transfer between insiders who were in possession of the same unpublished price sensitive information without being in breach of regulation 3 and both parties had made a conscious and informed trade decision.

Provided that such unpublished price sensitive information was not obtained under sub-regulation (3) of regulation 3 of the regulations.

Provided further that such off-market trades shall be reported by the insiders to the company within two working days. Every company shall notify the particulars of such trades to the stock exchange on which the securities are

listed within two trading days from receipt of the disclosure or from becoming aware of such information.

- ii. the transaction was carried out through the block deal window mechanism between persons who were in possession of the unpublished price sensitive information without being in breach of regulation 3 and both parties had made a conscious and informed trade decision; Provided that such unpublished price sensitive information was not obtained by either person under sub-regulation (3) of regulation 3 of these regulations.
- iii. the transaction in question was carried out pursuant to a statutory or regulatory obligation to carry out a bona fide transaction.
- iv. the transaction in question was undertaken pursuant to the exercise of stock options in respect of which the exercise price was pre-determined in compliance with applicable regulations.
- v. in the case of non-individual insiders:
 - a) the individuals who were in possession of such unpublished price sensitive information were different from the individuals taking trading decisions and such decision-making individuals were not in possession of such unpublished price sensitive information when they took the decision to trade;
 - b) appropriate and adequate arrangements were in place to ensure that these regulations are not violated and no unpublished price sensitive information was communicated by the individuals possessing the information to the individuals taking trading decisions and there is no evidence of such arrangements having been breached.
- vi. the trades were pursuant to a trading plan.

2. In the case of connected persons the onus of establishing, that they were not in possession of unpublished price sensitive information, shall be on such connected persons and in other cases, the onus would be on the Board.

1.7 Pre-clearance of trades

- a) All designated persons who intend to trade in the securities of Company (either in their own name or in any immediate relative's name) i.e. buy or sell securities and if the value of the securities likely to be traded, whether in one transaction or a series of transactions over any calendar quarter, aggregates to a traded value in excess of ten lakh rupees, should pre-clear the transactions by making an application in the format set out in **Annexure-A** to the Compliance Officer indicating the estimated number of units of securities that the designated person or immediate relative(s) intends to trade, the details as to the depository with which (s)he has a security account, the details as to the securities in such depository mode and such other details as specified in the form and also declare that the applicant is

not in possession of unpublished price sensitive information.

- b) No designated person shall apply for pre-clearance of any proposed trade if such designated person is in possession of unpublished price sensitive information even if the trading window is not closed.
- c) The Compliance Officer shall also determine whether any such declaration is reasonably capable of being rendered inaccurate.
- d) All designated persons of Company and their immediate relatives shall execute their order in respect of securities of Company within seven trading days after the approval of pre-clearance is given. If the order is not executed within seven trading days after the approval is given, the employee must obtain the pre-clearance for the transaction again.

1.8 Trading Period

- a) The trading period during which Company's securities can be traded is called trading window. The trading window shall be closed during the time the price sensitive information is un-published.
- b) When the trading window is closed, the Designated Persons (including their immediate relatives) shall not trade in Company's securities in such period.
- c) The trading window shall be, *inter-alia*, closed at the time of:
 - (a) Declaration of Financial results
 - (b) Declaration of dividends (interim and final)
 - (c) Change in capital structure
 - (d) Mergers, de-mergers, acquisitions, delistings, disposals and expansion of business
 - (e) Changes in key managerial personnel
 - (f) Material events in accordance with the listing agreement
 - (g) Such other time as the compliance officer determines that a designated person or class of designated person is reasonably expected to have possession of unpublished price sensitive information.
- d) The Compliance Officer shall also close the trading window when he determines that a designated person or class of designated persons can reasonably be expected to have possession of unpublished price sensitive information. Such closure shall be imposed in relation to such securities to which such unpublished price sensitive information relates.

- e) The trading window shall be opened 48 hours after the unpublished price sensitive information becomes generally available.
- f) The trading window shall also be applicable to any person having contractual or fiduciary relation with Company, such as auditors, accountancy firms, law firms, analysts, consultants etc., assisting or advising Company.

1.9 Holding Period

- a) The designated persons who are permitted to trade as above shall not enter into a contra trade i.e. sell or buy any number of securities during the next six months following the prior transaction. The designated persons shall also not take positions in derivative transactions in the securities of Company at any time. The Compliance Officer may be empowered to grant relaxation from strict application of such restrictions for reasons to be recorded in writing provided that such relaxation does not violate the regulations.
- b) In case a contra trade is executed, inadvertently or otherwise, in violation of such a restriction, the profits from such trade shall be liable to be disgorged for remittance to SEBI for credit to the Investor Protection and Education Fund administered by SEBI under the applicable law.

1.10 Trading Plan

- a) An insider shall be entitled to formulate a trading plan and present it to the compliance officer for approval and public disclosure pursuant to which trades may be carried out on his behalf in accordance with such plan.
- b) Such trading plan shall not entail commencement of trading on behalf of the insider earlier than one hundred and twenty calendar days from the public disclosure of the plan;
- c) set out following parameters for each trade to be executed:
 - (i) either the value of trade to be effected or the number of securities to be traded;
 - (ii) nature of the trade;
 - (iii) either specific date or time period not exceeding five consecutive trading days;
 - (iv) price limit, that is an upper price limit for a buy trade and a lower price limit for a sell trade, subject to the range as specified below:

- (I) for a buy trade: the upper price limit shall be between the closing price on the day before submission of the trading plan and upto twenty per cent higher than such closing price;
 - (II) for a sell trade: the lower price limit shall be between the closing price on the day before submission of the trading plan and upto twenty per cent lower than such closing price.
- d) not entail trading in securities for market abuse.

Chapter 2 - Disclosures & Reporting

Apart from the restrictions mentioned in Chapter 1, the Company is required to obtain certain disclosures and levy penalties as and when deemed fit. The disclosures to be made by any person under this Chapter shall also include those relating to such person's immediate relatives and any other person for whom such person takes trading decisions. The disclosures of trading in securities shall also include trading in derivatives of securities and the traded value of the derivatives shall be taken into account for purposes of this Chapter: Provided that trading in derivatives of securities is permitted by any law for the time being in force.

2.1 Initial Disclosure

- a) Every person on appointment as key managerial personnel or a director of Company or upon becoming a promoter shall disclose his holding of securities of the Company as on the date of appointment or becoming a promoter, to the Company within seven days of such appointment or becoming a promoter. **(Annexure-B)**

2.2 Continual Disclosure

Every promoter, employee and director of Company shall disclose to the Company in the format set out in **Annexure C**, the number of such securities acquired or disposed of within two trading days of such transaction if the value of the securities traded, whether in one transaction or a series of transactions over any calendar quarter, aggregates to a traded value in excess of ten lakh rupees or such other value as may be specified.

2.3 Disclosure by other connected persons

The Compliance Officer at his discretion may require any other connected person or class of connected persons to make disclosures of holdings and trading in securities of Company as and when he deems fit in order to monitor compliance with these regulations.

2.4 Reporting to the Board and Maintenance of Disclosures

- a) The Compliance Officer shall place before the Chairman of the Audit Committee on a quarterly basis, details of trading in Company Securities by the Designated Persons and the accompanying documents that such persons had executed under the pre-clearance procedure as envisaged under this code.
- b) The Compliance Officer shall maintain records of all the declarations in appropriate forms given by the Designated Persons for a minimum period of five years.
- c) The Secretarial Section shall acknowledge receipt of the declaration form received.

2.5 Amendment of this Code

The Management Committee of the Board of Directors is authorised to change/amend this Code from time to time at its sole discretion and/or in pursuance of any amendments made

in the SEBI (Prohibition of Insider Trading) Regulations, 2015.

Chapter 3- Penalties

3.1 Penalty for non-compliance

Any insider who trades in securities in contravention of the provisions of this Code or the Securities & Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 shall be guilty of insider trading and shall be *inter- alia* liable for punishment and penalty as mentioned in this Code and the Securities & Exchange Board of India Act, 1992, as mentioned below.

3.2 Penalty for non- compliance with the Code of Conduct

- a) Any employee/ officer / Director who trades in securities or communicates any information for trading in securities, in contravention of the code of conduct may be penalised and appropriate action may be taken by Company.
- b) Employees / officers / Directors of Company who violate the code of conduct shall also be subject to disciplinary action by Company, which may include wage freeze, suspension, ineligibility for future participation in employee stock option plans, if any, etc.
- c) The action by Company shall not preclude SEBI from taking any action in case of violation of SEBI (Prohibition of Insider Trading), Regulations, 2015.
- d) In case it is observed by the Compliance Officer that there has been a violation of the Regulations, SEBI shall be informed by Company.

3.3 Penalty for non-compliance with the Securities & Exchange Board of India Act, 1992.

Chapter VI A provides for various penalties, extracts of which are:

15A. *If any person, who is required under this Act or any rules or regulations made thereunder-*

- (a) *to furnish any document, return or report to the Board, fails to furnish the same, he shall be liable to a penalty of one lakh rupees for each day during which such failure continues or one crore rupees, whichever is less;*
- (b) *to file any return or furnish any information, books or other documents within the time specified therefore in the regulations, fails to file return or furnish the same within the time specified therefore in the regulations, he shall be liable to a penalty of one lakh rupees for each day during which such failure continues or one crore rupees, whichever is less;*
- (c) *to maintain books of accounts or records, fails to maintain the same, he shall be liable to a penalty of one lakh rupees for each day during which such failure continues or one crore rupees, whichever is less.*



15G. If any insider who -

- (i) either on his own behalf or on behalf of any other person, deals in securities of a body corporate listed on any stock exchange on the basis of any unpublished price sensitive information; or
- (ii) communicates any unpublished price sensitive information to any person, with or without his request for such information except as required in the ordinary course of business or under any law; or
- (iii) counsels, or procures for any other person to deal in any securities of any body corporate on the basis of unpublished price sensitive information, shall be liable to a penalty twenty-five crore rupees or three times the amount of profits made out of insider trading, whichever is higher.

24. (1) Without prejudice to any award of penalty by the adjudicating officer under this Act, if any person contravenes or attempts to contravene or abets the contravention of the provisions of this Act or of any rules or regulations made thereunder, he shall be punishable with imprisonment for a term which may extend to ten years, or with fine, which may extend to twenty-five crore rupees or with both.

2) If any person fails to pay the penalty imposed by the Adjudicating Officer or fails to comply with any of his directions or orders, he shall be punishable with imprisonment for a term which shall not be less than one month, but which may extend to ten years or with fine, which may extend to twenty-five crore rupees or with both.

Without prejudice to the directions under regulation 11, if any person violates provisions of these regulations, he shall be liable for appropriate action under Sections 11, 11 B, 11D, Chapter VIA and Section 24 of the Act.

ANNEXURE-A

A. Application cum undertaking for pre-clearances of trade

To,
The Compliance Officer,
Tatia Global Vennture Limited
New No. 29, Old No. 12,
Mookathal Street, Purasawalkam,
Chennai, Tamil Nadu – 600 007

Date: _____
From: _____
Designation: _____

With reference to the Code of Conduct of Company for Prevention of Insider Trading, I hereby give notice that I propose to carry out the following transaction in Company securities for Myself / As Joint Holder / My immediate relative.

Transaction (Sale/Purchase)	Type of Security	Number of Security

I hereby declare that:

- (a) I do not have any access nor have I received or possess “Unpublished Price Sensitive Information” up to the time of signing the undertaking.



- (b) That in case I have access to or receive "Unpublished Price Sensitive Information" after the signing of the undertaking but before the execution of the transaction. I shall inform the Compliance Officer of the change in my position and that I would completely refrain from trading in the securities of Company till the time such information becomes public.
- (c) That I have not contravened the code of conduct for prevention of insider trading as notified by Company from time to time.
- (d) That I have made a full and true disclosure in the matter.

Signature of the Employee/Director

B. Authorization to trade

The above transaction has been authorised. Your trading must be completed within 7 trading days from _____.

Please confirm this by completing the section below and returning this Form to us within 7 days of completion of transaction.

Date: _____ (Compliance Officer)

C. Confirmation of trades

The Compliance Officer
Tatia Global Vennture Limited

I confirm the trading in the aforesaid securities was completed on _____.

Date: _____

Signature of the Employee/Director

ANNEXURE-B

SEBI (Prohibition of Insider Trading) Regulations, 2015
[Regulation 7 (1) (b) read with Regulation 6(2) – Disclosure on becoming a
director/KMP/Promoter]

To,
The Compliance Officer,
Tatia Global Vennture Limited
New No. 29, Old No. 12,
Mookathal Street, Purasawalkam,
Chennai, Tamil Nadu – 600 007

Date: _____
From: _____
Designation: _____

Name of the company: _____

ISIN of the company: _____

**Details of Securities held on appointment of Key Managerial Personnel (KMP) or
Director or upon becoming a Promoter of a listed company and other such persons as
mentioned in Regulation 6(2).**

Name, PAN, CIN/DIN & Address	Category of Person (Promoters/ KMP /	Date of appointment of Director /KMP OR	Securities held at the time of becoming Promoter/appointment of Director/KMP	% of Shareholding
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with contact nos.	Directors/mediate relative to/others etc.)	Date of becoming Promoter	Type of security (For eg. – Shares, Warrants, Convertible Debentures etc.)	No.	
1	2	3	4	5	6

Note: “Securities” shall have the meaning as defined under regulation 2(1)(i) of SEBI (Prohibition of Insider Trading) Regulations, 2015.

Details of Open Interest (OI) in derivatives of the company held on appointment of Key Managerial Personnel (KMP) or Director or upon becoming a Promoter of a listed company and other such persons as mentioned in Regulation 6(2).

Open Interest of the Future contracts held at the time of becoming Promoter/appointment of Director/KMP			Open Interest of the Option Contracts held at the time of becoming Promoter/appointment of Director/KMP		
Contract specifications	Number of units (contracts * lot size)	Notional value in Rupee terms	Contract specifications	Number of units (contracts * lot size)	Notional value in Rupee terms
7	8	9	10	11	12

ANNEXURE-C

SEBI (Prohibition of Insider Trading) Regulations, 2015
[Regulation 7 (2) read with Regulation 6(2) – Continual disclosure]

To,
The Compliance Officer,
Tatia Global Vennture Limited
New No. 29, Old No. 12,
Mookathal Street, Purasawalkam,
Chennai, Tamil Nadu – 600 007

Date: _____
From: _____
Designation: _____

Name of the company: _____
ISIN of the company: _____

Details of change in holding of Securities of Promoter, Employee or Director of a listed company and other such persons as mentioned in Regulation 6(2).

Name , PAN, CIN/ DIN, & addre ss with conta ct nos.	Cate gor y of Pers on (Pro mot ers/ KM P / Dire ctor s/im med iate relat ive to/ot her s etc.)	Securities held prior to acquisition/ disposal		Securities acquired/Disposed				Securities held post acquisition/dis posal		Date of allotment advice/ acquisitio n of shares/ sale of shares specify		Date of intim ation to com pany	Mode of acquisition / disposal (on market/pub lic/ rights/ preferential offer / off market/ Inter-se transfer, ESOPs etc.)
		Type of secur ity (For eg. – Shar es, Warr ants , Conv erti ble Debe ntur es etc.)	No. and % of sha reh oldi ng	Type of secur ity (For eg. – Shar es, War ran ts, Con vert ible Deb ent ures etc.)	N o.	V al ue	Tran sact ion Typ e (Bu y/ Sale / Pled ge / Rev oke/ Invo ke)	Type of security (For eg. – Shares, Warran ts , Conver tible Debent ur es etc.)	No. and % of shar ehol di ng	Fr om	To		
1	2	3	4	5	6	7	8	9	10	11	12	13	14

Note: “Securities” shall have the meaning as defined under regulation 2(1)(i) of SEBI (Prohibition of Insider Trading) Regulations, 2015.

